



# Third Party Security Provider Application

Suncorp Metway Limited ABN 66 010 831 722. AFSL 229882.

If you have any questions please contact an Account Manager on 1800 805 972 Intl 612 9236 3471.

Complete this application when security for a Suncorp Margin Lending Facility is being provided by a person who is not the borrower (i.e. a "Third Party Security Provider").

## Third Party Provider's Checklist

Tick (✓) once complete

- Read the Suncorp Margin Lending Terms and Conditions version dated November 2008 and satisfy yourself that you fully understand the implications of being a Third Party Security Provider for a Margin Lending Facility before you complete the relevant sections of this application.
- Complete your Borrower Details in Section 1.
- Complete your Third Party Security Provider's Details in Section 2.
- Read and satisfy yourself that you fully understand the Power of Attorney in Section 4.
- All Third Party Security Providers MUST complete and date Section 5.
- To lodge security on the borrower's margin lending facility, please complete the relevant transfer forms or refinance authority form.
- Proof of identity requirements

### INDIVIDUALS

Each individual must attach a certified+ copy of one form of personal identification as indicated below

<b>The identification provided must contain the individual's FULL NAME, and either RESIDENTIAL ADDRESS (not a PO Box) or DATE OF BIRTH.</b>	Australian/State Territory driver's licence containing a photograph of the person	<input type="checkbox"/>
	A current Australian Passport (or one that has expired within the previous 2 years)	<input type="checkbox"/>
	Card issued under State/Territory for the purpose of proving a person's age, containing a photograph of the person	<input type="checkbox"/>
	Foreign passport or similar travel document containing a photograph and signature of the person*	<input type="checkbox"/>

\*Documents must be written substantially in English.

If you are unable to provide one of the forms of identification detailed above, please contact our Account Management Team on 1800 805 972 for further options.

### COMPANIES

Suncorp Margin Lending will conduct an ASIC search once your application has been received as Proof of Identification for the Company.

Please note the following:

- Companies must attach a \$150 cheque for the company application fee made payable to Suncorp Margin Lending. This fee is not refundable if the application is rejected or not pursued.
- Tasmanian residents must have this Third Party Security Provider application registered with the Land Titles Office, located at Level 1, 134 Macquarie Street, Hobart Tas 7000.

### Warning

You should seek independent legal and financial advice on the effect of being a third party security provider before you sign this form.

There are financial risks involved in signing this form (for example, there is the risk of losing any property that you provide as security for the facility).

### + The list of certifiers that Suncorp deem acceptable is:

- a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
- a judge of a court;
- a magistrate;
- a chief executive officer of a Commonwealth court;
- a registrar or deputy registrar of a court;
- a Justice of the Peace;
- a notary public (for the purposes of the Statutory Declaration Regulations 1993);
- a police officer;
- an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
- an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955);
- an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993);

Please send the completed form and certified copies of the relevant identification documents to:  
Suncorp Margin Lending, Reply Paid 1877, Royal Exchange NSW 1225 OR Fax 1300 305 499 Intl 612 9995 8227.

**Section 1 Borrower Details**

\*Indicates Mandatory Information

Name of Borrower/s.

If the Borrower is a Trust or Company please specify the Company or Trust name

Borrower/s Client Reference Number (if known)

**Section 2 Third Party Security Provider Details****First Individual Third Party Security Provider**

Title Surname\* Given Name/s in full\*

Date of Birth\* / / Driver's Licence Number

Residential Address\* (PO BOX NOT ACCEPTABLE)

Postcode Country\*

Mailing Address - write 'as above' if the same as your residential address

Postcode Country\*

Home Phone Number Work Phone Number Fax Number

Mobile Phone Number Email Address

Privacy preference - would you like the benefit of receiving information about products and services (which may be supplied by us, other members of the Suncorp Metway Group or preferred providers) which we consider may be of value and interest to you? Please note if you have not ticked any boxes you will be taken to have said YES.  Yes  No

**Second Individual Third Party Security Provider**

Title Surname\* Given Name/s in full\*

Date of Birth\* / / Driver's Licence Number Occupation

Residential Address\* (PO BOX NOT ACCEPTABLE)

Postcode Country\*

Mailing Address - write 'as above' if the same as your residential address

Postcode Country\*

Home Phone Number Work Phone Number Fax Number

Mobile Phone Number Email Address

Privacy preference - would you like the benefit of receiving information about products and services (which may be supplied by us, other members of the Suncorp Metway Group or preferred providers) which we consider may be of value and interest to you? Please note if you have not ticked any boxes you will be taken to have said YES.  Yes  No

**Company acting as Third Party Security Provider**

Name of Company (as registered with ASIC) ACN ABN (if applicable)

Registered Office\* (PO BOX NOT ACCEPTABLE)

Postcode Country\*

Principal Place of Business\* (PO BOX NOT ACCEPTABLE)

Postcode Country\*

Mailing Address - write 'as above' if the same as your Principal Place of Business

Postcode Country\*

Name of Contact Person Office Held (e.g. Director, Secretary)

Work Phone Number Fax Number

Email Address Mobile Phone Number

**Section 2 Third Party Security Provider Details (con't)**

Company Registration Type (Please tick (✓) one)

 Public Private Provide the full name of each Director (Mandatory for PRIVATE companies)

1.	5.
2.	6.
3.	7.
4.	8.

Name of Contact Person	Office Held (e.g. Director, Secretary)
Work Phone Number	Fax Number
Email Address	Mobile

For **PRIVATE** companies, provide the **FULL NAME** and **RESIDENTIAL ADDRESS** of any individual shareholder who owns more than 25% of the issued capital of the company (a Beneficial owner)\*.**Shareholder/Beneficial Owner 1**

Title	Surname*	Given Name/s in full*
Residential Address* (PO BOX NOT ACCEPTABLE)	Postcode	Country*

**Shareholder/Beneficial Owner 2**

Title	Surname*	Given Name/s in full*
Residential Address* (PO BOX NOT ACCEPTABLE)	Postcode	Country*

**Shareholder/Beneficial Owner 3**

Title	Surname*	Given Name/s in full*
Residential Address* (PO BOX NOT ACCEPTABLE)	Postcode	Country*

**Important Note:** Please attach a \$150 cheque for the search fee made payable to Suncorp Margin Lending.**Section 3 Third Party Security Provider HIN Details****HIN Number(s) –**

Holder Identification Number(s) if known. If not known we will insert later on your behalf.

<input type="checkbox"/> First Third Party Security Provider HIN
<input type="checkbox"/> Second Third Party Security Provider HIN
<input type="checkbox"/> Company Third Party Security Provider HIN

## Section 4 Power of Attorney

This section of the application comprises a deed, made on the day indicated in Section 5 by each signatory.

### 1 Appointment

- 1.1 You (being each Third Party Security Provider) appoint Suncorp Metway Limited, Value Nominees Pty Limited and each of their directors, secretaries and employees separately as your attorneys.
- 1.2 You agree to formally approve anything an attorney does under this power of attorney. You declare that this power of attorney is given for valuable consideration and agree that you may not revoke the appointment.

### 2 Power

- 2.1 An attorney may, in your name:
  - (a) do everything Suncorp Metway Limited or Value Nominees Pty Limited needs to execute and deliver for you the documents listed in Schedule 1; and
  - (b) do everything Suncorp Metway Limited or Value Nominees Pty Limited needs to execute and deliver for you any documents in connection with the documents referred to in Schedule 1; and
  - (c) do anything which you can do as owner of the 'mortgaged property' (as defined in the Terms and Conditions), or anything which you can do in the transactions completed by the documents listed in Schedule 1: and
  - (d) stamp and register any of the documents listed in Schedule 1.

### 3 Declaration

- 3.1 You declare that:
  - (a) anything Suncorp Metway Limited or Value Nominees Pty Limited does in exercising powers given to them under this power attorney will be binding on you and anyone else as if you had done the acts yourself; and
  - (b) any person who deals with your attorneys in good faith, may accept as true a statement the attorney signs which says:
    - (i) an act of the attorney is a proper exercise of the powers under this power of attorney; and/or
    - (ii) this power of attorney has not been revoked.

### 4 Indemnity

- 4.1 You agree to indemnify the attorneys against, and you must therefore pay the attorneys on demand for, loss or costs they suffer or incur in exercising powers under this power of attorney.
- 4.2 You authorise the attorneys to exercise the powers under this power of attorney even if this involves a conflict of duty or the attorneys (or a person they know) have a personal interest in doing so.

## SCHEDULE 1

Documents covered by this power of attorney:

1. Terms and Conditions between Suncorp Metway Limited, any of its subsidiaries, you and anyone else who signs this application form or who is joined in the agreement, in whatever capacity.
2. Any instrument and any form dealing in any way or relating to the mortgaged property including transfers, exercises of options, redemption requests and any controlling participant arrangement in relation to uncertificated securities.
3. Any application for the issue of certificates under section 1070D of the Corporations Act.
4. Any appointment of a person to hold all or part of the mortgaged property for you as your nominee.

**Section 5 Declaration and Signing, Individual**

Please consider the following carefully before you send us your application. By signing this application:

You acknowledge having read the Suncorp Margin Lending Terms and Conditions (Version dated November 2008) in the brochure, the Risk Disclosure Statement, the Power of Attorney conditions and Background on CHES.

You acknowledge understanding the risks of Margin Lending and your obligations if we accept your application.

You give our officers and us the power to enter into agreements which bind you and do other things on your behalf without referring to you, including to complete your HIN details in your Sponsorship Agreement.

You acknowledge that you have read and understood the information under the heading Privacy Statement for personal information about individuals in the Terms and Conditions, and consent to the collection, use and disclosure of personal information in accordance with the Privacy Statement. Where you have provided information about another individual (such as an adviser), you declare that the individual has been made aware of that fact and the contents of the Privacy Statement.

You acknowledge that the information provided to Suncorp in connection to this application is true and correct.

You acknowledge that if there is an event of default, there is the risk of losing any property that has been given as security for the facility.

You acknowledge receiving a copy of the sponsorship agreement (contained in Part VIII of the Terms and Conditions) for your records

Only sign this application if the above is satisfactory to you. If it is not you must seek independent legal advice.

**Signed, Sealed and Delivered as a Deed on**                    /                    /                    **(The date MUST be completed)**

This section MUST be completed

**First Third Party Security Provider**

**Second Third Party Security Provider**

Full Name

Full Name

Signature

Signature

Witness - Must not be a Borrower/third party security provider

Witness - Must not be a Borrower/third party security provider

Full Name

Full Name

Signature

Signature

**COMPANY THIRD PARTY SECURITY PROVIDER OR COMPANY TRUSTEE THRID PARTY SECURITY PROVIDER**

In all cases, either two directors, one director and one secretary or the sole director/secretary must sign.

Please consider the following carefully before you send us your application. By signing this application:

You acknowledge having read the Suncorp Margin Lending Terms and Conditions (version dated November 2008) in the brochure, the Risk Disclosure Statement, the Power of Attorney conditions and Background on CHESS.

You acknowledge understanding the risks of Margin Lending and your obligations if we accept your application.

You give our officers and us the power to enter into agreements which bind you and do other things on your behalf without referring to you, including to complete your HIN details in your Sponsorship Agreement.

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You acknowledge that the information provided to Suncorp in connection to this application is true and correct.

You acknowledge that if there is an event of default, there is the risk of losing any property that has been given as security for the facility.

You acknowledge receiving a copy of the sponsorship agreement (contained in Part VIII of the Terms and Conditions) for your records.

Only sign this application if the above is satisfactory to you. If it is not you must seek independent legal advice.

**Signed, Sealed and Delivered as a Deed on**                    /                    /                    **(The date MUST be completed)**

**Company Director**

**Company Director/Secretary (if applicable)**

Full Name

Full Name

Office Held

Office Held

Signature

Signature

Witness - Must not be a Borrower/third party security provider

Witness - Must not be a Borrower/third party security provider

Full Name

Full Name

Signature

Signature

Common Seal (if applicable)